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10-10-00

Date

Jeanne Connelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jeffrey P. Bezos et al.

Application No.

09/151,617

Filed

September 11, 1998

For

METHOD AND SYSTEM FOR PLACING A PURCHASE

ORDER VIA A COMMUNICATIONS NETWORK

Art Unit

2756

Docket No.

249768002US1

Date

October 10, 2000

Assistant Commissioner for Patents Washington, DC 20231

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information of which they are aware that is believed relevant to the patentability of the above-identified application, applicants believe that their invention is patentable. As to any document supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and

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specifically reserve the right to antedate any such document, as by a showing under 35 C.F.R. § 1.131 or other method.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted, Perkins Coie LLP

Maurice J. Pirio

Registration No. 33,273

MJP:jc

Enclosures:

Postcard

Form PTO/SB/08A Cited References (1)

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Seattle, Washington 98111-1247

(206) 583-8888

Fax: (206) 583-8500

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PATENT

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November 12, 1999

Date

Maurice J. Pirio

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jeffrey P. Bezos et al.

Application No.

09/151,617

Filed

September 11, 1998

For

METHOD AND SYSTEM FOR PLACING A PURCHASE

ORDER VIA A COMMUNICATIONS NETWORK

Art Unit

: 2756

Docket No.

: 249768002US1

Date

: November 12, 1999

Assistant Commissioner for Patents Washington, DC 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information of which they are aware that is believed relevant to the patentability of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted, Perkins Coie LLP

Maurice J. Pirio

Registration No. 33,273

MJP:jc

Enclosures:

Postcard Form PTO/SB/08a Cited References (3))

1201 Third Avenue, Suite 4800 Seattle, Washington 98101-3099 (206) 583-8888

Fax: (206) 583-8500

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231.

March 4, 1999

Date

Maurice J. Pirio

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jeffrey P. Bezos et al.

Application No.

09/151,617

Filed

September 11, 1998

For

METHOD AND SYSTEM FOR PLACING

A PURCHASE ORDER VIA A COMMUNICATIONS NETWORK

Art Unit

2756

Docket No.

120087.402C1

Date

March 4, 1999

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

We hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicants are also submitting a copy of the PCT Notification of Transmittal of the International Search Report for PCT/US 98/18926, dated February 10, 1999.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Jeffrey P. Bezos et al.

SEED and BERRY LLP-

Maurice J. Pirio

Registration No. 33,273

Enclosures:

Copy of Search Report Form PTO-1449 Cited References (7)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 120087.402C1 APPLICATION NO. 09/151,617

APPLICANTS

Jeffrey P. Bezos et al.

(Use several sheets if necessary)

		(Use several sheets if n	ecessary)		FILING DATE September 11, 1998		GROUP ART UNIT 2756		
			U.S	S. PATENT	DOCUMENTS				
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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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U.S. DEFARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 120087.402C1

APPLICATION NO. 09/151,617

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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

DATE CONSIDERED

FORM PTO-1449 (REV.7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 120087.402C1 APPLICATION NO. 09/151,617

APPLICANTS INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Jeffrey P. Bezos et al.

FILING DATE September 11, 1998 GROUP ART UNIT 2756

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International application No. PCT/US 98/ 18926	International filing date (day/month/year) 10/00/1009						
Applicant Applicant	10/09/1998						
AMAZON.COM, INC. et al.							
The applicant is hereby notified that the International Search Report has been established and is transmitted herewith. Filling of amendments and statement under Article 19. The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46): When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.							
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41-22) 740.14.35	5						
For more detailed instructions, see the notes on the acco	ompanying sheet.						
2. The applicant is hereby notified that no International Searc Article 17(2)(a) to that effect is transmitted herewith.	h Report will be established and that the declaration under						
3. With regard to the protest against payment of (an) addition	onal fee(s) under Rule 40.2, the applicant is notified that:						
the protest together with the decision thereon has bee applicants's request to forward the texts of both the pro	n transmitted to the International Bureau together with the potest and the decision thereon to the designated Offices.						
no decision has been made yet on the protest; the app	dicant will be notified as soon as a decision is made.						
Further action(s): The applicant is reminded of the following:							
Shortly after 18 months from the priority date, the international ap If the applicant wishes to avoid or postpone publication, a notice priority claim, must reach the International Bureau as provided in completion of the technical preparations for international publications.	of withdrawal of the international application, or of the n Rules 90bis 1 and 90bis 3, respectively, before the						
Within 19 months from the priority date, a demand for international wishes to postpone the entry into the national phase until 30 more	al preliminary examination must be filed if the applicant of the priority date (in some Offices even later).						
Within 20 months from the priority date, the applicant must perform before all designated Offices which have not been elected in the priority date or could not be elected because they are not bound	demand or in a later election within 19 months from the						
ame and mailing address of the International Searching Authority	Authorized officer						
European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Lucia Van Pinxteren						

Form PCT/ISA/220 (January 1994)



These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international phulication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

How?

Sales of the sales of

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.



The letter must indicate the differences between the claims as filed and the claims as amended, it must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged:
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
 claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- 3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]: "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]:
 Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added.

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of (Form PCT/ISA/2)	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.								
120087 . 402PC International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)								
PCT/US 98/18926	10/09/1998	12/09/1997								
Applicant										
AMAZON.COM, INC. et al.										
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.										
This International Search Report consists of a total of sheets. X It is also accompanied by a copy of each priorant document cited in this report.										
Certain claims were found un	Certain claims were found unsearchable (see Box I).									
2. Unity of Invention is lacking (s	see Box II).									
The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing										
_	with the international application.									
furn	ished by the applicant separately from the intern	national application,								
[but not accompanied by a statement to the matter going beyond the disclosure in the in	effect that it did not include nternational application as filed.								
Tran	nscribed by this Authority									
4. With regard to the title, X the t	ext is approved as submitted by the applicant	-								
the t	ext has been established by this Authority to rea	d as follows:								
	·									
5. With regard to the abstract,										
	ext is approved as submitted by the applicant									
Box	ext has been established, according to Rule 38.1 III. The applicant may, within one month fromthoch Report, submit comments to this Authority.									
Joan	on the state of th									
6. The figure of the drawings to be publis	shed with the abstract is:									
Figure No. 2 X as su	iggested by the applicant.	None of the figures.								
	use the applicant failed to suggest a figure.									
beca	use this figure better characterizes the invention	-								
	•	·								

A CLASSIFICATION OF SUBJECT MATTER IPC 6 G06F17/60

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 6 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Ç.	DOC	UMEN	TS CO	NSIDEF	RED TO	BE RELEVANT

nt to claim No.	Relevant to	Citation of document, with indication, where appropriate, of the relevant passages	Category *
3, 2, 7, 1,	3-6,9, 16,18, 21,22, 24,27, 29-31, 36,37, 42-45	WO 96 38799 A (AMAZON COM INC) 5 December 1996 see page 5, line 29 - page 8, line 11	x
	1-48	WO 98 21679 A (MICROSOFT CORP) 22 May 1998 see figure 2; tables 1-6 see page 13, line 23 - page 15, line 11 see page 27, line 20 - page 28, line 25 see page 34, line 7 - page 40, line 4	P,X
			,

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but tater than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
3 February 1999	10/02/1999
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
Nt 2260 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Bowler, A

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INTERNATIONAL SEARCH REPORT

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Category *	etion) DOCUMENTS CONSIDERED TO BE RELEVANT				
Calogory	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
Ε	EP 0 883 076 A (IBM) 9 December 1998	1-31, 36-48			
	see abstract; figures 2,3 see column 2, line 37 - column 3, line 37	35 45			
', X	EP 0 845 747 A (HITACHI LTD) 3 June 1998	32-35, 49-66			
	see column 4, line 26 - column 4, line 47 see column 5, line 19 - column 11, line 21; figures 1-9	49-00			
	WO 95 30961 A (TACKBARY MARY THOMASMA) 16 November 1995 see abstract; figure 2 see page 9, line 6 - page 12, line 22	32-35, 49-66			
A	HOQUE R: "shopping cart application with JavaScript" WEB TECHNIQUES, vol. 3, May 1998, pages 63-68, XP002083218	1-31, 36-48			
	BARON C: "Implementing a Web shopping cart" DR. DOBBS JOURNAL, September 1997, pages 64, 66, 68/69, 83-85, XP002083012	1-31, 36-48			
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INTERNATIONAL SEARCH REPORT

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Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
WO	9638799	A	05-12-1996	US AU CA EP	5715399 A 5798196 A 2218257 A 0829056 A	03-02-1998 18-12-1996 05-12-1996 18-03-1998
WO	9821679	Α	22-05-1998	AU	5177598 A	03-06-1998
EP	0883076	Α	09-12-1998	JP	10334145 A	18-12-1998
EΡ	0845747	· A	03-06-1998	JP	10162065 A	19-06-1998
WO	9530961	A	16-11-1995	US AU AU CA EP	5555496 A 682969 B 2636195 A 2189739 A 0760983 A	10-09-1996 23-10-1997 29-11-1995 16-11-1995 12-03-1997

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